

# CASTLE A M & CO Reported by GARRETT THOMAS L

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 11/05/13 for the Period Ending 11/05/13

Address	1420 KENSINGTON ROAD
	SUITE 220
	OAK BROOK, IL 60523
Telephone	8474557111
CIK	0000018172
Symbol	CAS
SIC Code	5051 - Metals Service Centers and Offices
Industry	Misc. Fabricated Products
Sector	Basic Materials
Fiscal Year	12/31

FORM 4
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[] Check this box if no
longer subject to Section 16.
Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
GARRETT THOMAS L	CASTLE A M & CO [ CAS ]					
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	Director 10% Owner				
1420 KENSINGTON ROAD, SUITE 220	11/5/2013	_X_Officer (give title below) Other (specify below) Pres., Total Plastics, Inc.				
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
OAK BROOK, IL 60523						
(City) (State) (Zip)		_ X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Date	Deemed Execution	Code	e (A) or Disposed of (D)		ed of (D)		Ownership Form:	Beneficial	
	any	Coda	v	Amount	or			or Indirect	1
11/5/2013		S	v	2500	<u> </u>		11538	D	
							8237.14	T	See Note (2)
	Date	Date Deemed Execution Date, if	Date Deemed Execution Date, if any Code 11/5/2013	Date Deemed Execution Date, if any Code V	Date Deemed Execution Date, if any Code (A) or D (Instr. 3) (Instr. 3, or D) (Instr. 3) (Instr. 3, or D) (In	Date Deemed Execution Date, if any Code (A) or Dispos (Instr. 8) (Instr. 3, 4 an or Code V Amount (D) 11/5/2013	Date     Deemed Execution     Code (Instr. 8)     (A) or Disposed of (D) (Instr. 3, 4 and 5)       Date, if any     (A)     (A)       Code     V     (A)       0     Code     V       11/5/2013     V     V	Date     Deemed Execution Date, if any     Code (Instr. 8)     (A) or Disposed of (D) (Instr. 3, 4 and 5)     Following Reported Transaction(s)       11/5/2013     S     2500     D     \$15.34     (1)     11538	Date       Deemed Execution Date, if any       Code (Instr. 8)       (A) or Disposed of (D) (Instr. 3, 4 and 5)       Following Reported Transaction(s)       Ownership Form: Direct (D) or Indirect (I) (Instr. 4)         11/5/2013       S       2500       D       \$15.34 (1)       11538       D         11/5/2014       S       2500       D       \$15.34 (1)       11538       D

## Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivate	2.	3.	3A.	4.	5. Nun	nber of	6. Date Exe	cisable	7. Tit	le and Amount of	8. Price of	9. Number	10.	11. Nature	
Security	Conversion	Trans.	Deemed	Trans.	Deriva	tive	and Expirati	and Expiration Date		and Expiration Date Securities Underlying I		Derivative	of	Ownership	of Indirect
(Instr. 3)	or Exercise	Date	Execution	Code	Securit	Securities		Deriv	vative Security	Security	derivative	Form of	Beneficial		
	Price of		Date, if	(Instr. 8	Acquir	ed (A) or			(Instr	: 3 and 4)	(Instr. 5)	Securities	Derivative	Ownership	
	Derivative		any		Dispos	ed of (D)						Beneficially	Security:	(Instr. 4)	
	Security											Owned	Direct (D)		
					(Instr.	3, 4 and						Following	or Indirect		
					5)							Reported	(I) (Instr.		
							Date	Expiration		Amount or Number of		Transaction	4)		
				Code V	(A)	(D)	Exercisable	Date	Title	Amount or Number of Shares		(s) (Instr. 4)			
				coue	(11)	(D)	Excrementatione	Duit		Bildres					

### **Explanation of Responses:**

- (1) This transaction was executed in multiple trades at prices ranging from \$15.21 to \$15.40 per share. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Between April 30, 2013 and September 30, 2013, the reporting person's balance decreased by 4.94 shares of common stock under the A.M. Castle & Co. 401(k) Plan. The information in this report is based upon a plan statement dated as of September 30, 2013.

### **Reporting Owners**

Benerting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
GARRETT THOMAS L 1420 KENSINGTON ROAD SUITE 220 OAK BROOK, IL 60523			Pres., Total Plastics, Inc.					

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Date

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.