

CASTLE A M & CO Reported by

GARRETT THOMAS L

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 01/02/14 for the Period Ending 12/31/13

Address 1420 KENSINGTON ROAD

SUITE 220

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Issuer Name and Ticker or Trading Symbol							ng Symb		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
HOMA	SL		\mathbf{C}_{λ}	AS'	TLE A	M &	& (CO	[C	S]						
(Last) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)							DD/YYYY)	Director 10% Owner				
																Othe	r (specify
1420 KENSINGTON ROAD, SUITE 220												,	Γota	al Plastic	es, Inc.		
(Street)				4. If Amendment, Date Original Filed (MM/DD/YYYY)							ed	6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)				
K, IL 60	523												21.0				
(City) (State) (Zip)													X Form filed by One Reporting Person Form filed by More than One Reporting Person				
					a .							T. 01.1					
	Table 1			$\overline{}$		1		_		_	<u> </u>		•			6	7. Nature
1.Title of Security (Instr. 3)			Date		Deemed	Code		(A) (D)	(A) or Disposed (D)		l of Fol				Ownership Form: Beneficial Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership	
				any		Code	v	Amo	, l	r	Price						
			12/31/2	2013		M		220)O A		(1)		137	738		D	
Common Stock 12				/31/2013		F		677	7 1	D \$14.77		13061				D	
Common Stock													8235.36			I	See Note
le II - Dei	rivative S	Securitio	es Ber	nefic	cially O	wned	(e.	.g. ,]	puts,	cal	lls, warr	ants, optio	ns,	convert	ible secur	rities)	
2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	n Code	. I S A O O O C	Derivative Securities Acquired (A or Disposed of (D) Instr. 3, 4	Exp	6. Date Exercisable and Expiration Date				Securities Derivative	Underlying Security		Derivative Security (Instr. 5)		Ownership Form of Derivative	Beneficial
			Code	V (.	V (A) (D)			sable 1			Title	Number of Shares	mber of				
(1)	12/31/2013		M		2200	12/3	31/2	2013	12/31/	2013	Common Stock	2200		(1)	0	D	
	(First) (GTON (Street) (State) (State) le II - Dei 2. Conversion or Exercise Price of Derivative Security	HOMAS L (First) (Midding Middle Midd	HOMAS L (First) (Middle) IGTON ROAD, SUIT (Street) X, IL 60523 (State) (Zip) Table I - Non-I 2. (Conversion or Exercise Price of Derivative Security 13/21/2012	HOMAS L (First) (Middle) 3. IGTON ROAD, SUITE (Street) 4. (Middle) 4. (Middle) 3. IGTON ROAD, SUITE (Street) 4. (Middle) 4. (Middle) 3. ILITERITY (Middle) 4. ILITERITY (Middle)	HOMAS L (First) (Middle) 3. Date (Street) 4. If A (MM/DI (State) (Zip) 2. Trans. Date Table I - Non-Derivative 2. Trans. Date 12/31/2013 12/31/2013 12/31/2013 12/31/2013 Code V (Code V (Co	HOMAS L (First) (Middle) (Street) (Street) (Street) (Street) (Street) (State) (Zip) Table I - Non-Derivative Securi 2. Trans. Date Date 12/31/2013 12/31/2013 12/31/2013 Le II - Derivative Securities Beneficially Over the security Securities Securiti	HOMAS L (First) (Middle) 3. Date of Earliest T (Street) 4. If Amendment, D. (MM/DD/YYYY) (State) (Zip) Table I - Non-Derivative Securities A 2. Trans. Date 2. Trans. Date 12/31/2013 M 12/31/2013 F (Code II - Derivative Securities Beneficially Owned Execution Date, if any 12/31/2013 Aute Deemed Execution Date, if any 12/31/2013 F (Code II - Derivative Securities Beneficially Owned Execution Date, if any Code II - Derivative Securities Beneficially Owned Execution Date, if any (Instr. Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (Code V (A) (D) Date Execution Date Execution Date, if any (Code V (A) (D) Date Execution Date Execution Date, if any (Code V (A) (D) Date Execution Date Execution Date, if any (Code V (A) (D) Date Execution Date Execution Date, if any (Code V (A) (D) Date Execution Date Execution Date Execution Date II Date Execution Date Execution Date II Date Execution Date Execution Date II Date	HOMAS L (First) (Middle) (Street) (A. 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If Amendment, Date Original (MM/DD/YYYY) (MM/DD/YYYY) (MM/DD/YYYY) (State) (State) (Zip) Table I - Non-Derivative Securities Acquired, Dispensed (Instr. 8) (Double, if any) (Code V Amount (Instr. 3, 4 and 5) (Code V Amount (Instr. 3, 4 and 5) (Code V (A) (D) (Code V (Code V (A) (D) (Code V (Code V (A) (D) (Code V (A)	HOMAS L (First) (Middle) (Street) (Street) (Street) (Street) (Street) (Street) (Street) (Street) (Street) (A. If Amendment, Date Original File (MM/DD/YYYY) (MM/DD/YYYY) (MM/DD/YYYY) (MM/DD/YYYY) (Instr. 3, 4 and any or Exercise (Instr. 3) Date (Instr. 3, 4 and any or Exercise (Instr. 3) Date (Instr. 3)	HOMAS L (First) (Middle) (Street) (Street) (A. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of (Mm/DD/YYYY) Table I - Non-Derivative Securities Acquired, Original Filed (Mm/DD/YYYY) Table I - Non-Derivative Securities Acquired, Disposed of (Mnstr. 8) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3, 4 and 5) 12/31/2013	(Check HOMAS L (First) (Middle) (IGTON ROAD, SUITE (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (State) (State) (State) (Amount of Securities Securities Acquired, Disposed of Close Execution (Instr. 8) Date, if any (Delow) (Instr. 3, 4 and 5) (Instr. 3, 4 and 5) (Instr. 3 and 4) (Instr. 3) (I	HOMAS L (First) (Middle) (GTON ROAD, SUITE (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) 4. If Amendment, Date Original Filed (MM/DD/YYYY) 4. If Amendment, Date Original Filed (MM/DD/YYYY) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially 2. Trans. Date Deemed Execution Date, if any 12/31/2013 M 2200 A (I) 12/31/2013 F 677 D \$14.77 130 12/31/2013 F 677 D \$14.77 130 12/31/2013 F 677 D \$14.77 130 130 137 130 130 130 130 1	HOMAS L (First) (Middle) (GTON ROAD, SUITE 12/31/2013 A. If Amendment, Date Original Filed (MM/DD/YYYY) (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (State) 7. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (A) or Disposed of (Instr. 3) (Instr. 3, 4 and 5) 12/31/2013 M 2200 A (I) 12/31/2013 F 677 D \$14.77 13061 12/31/2013 F 677 D \$14.77 13061 12/31/2013 R 5. Number of Exercise Date of Exercise Date of Code Date, if One of Code Date of Code Date One of Code Date One of Code Date One of Code Date One of Code One of	CASTLE A M & CO [CAS] Check all applicable	HOMAS L (First) (Middle) (Grook ROAD, SUITE 12/31/2013 (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (Street) 4. If Amendment, Date Original Filed (MM/DD/YYYY) (State) (Inst. 3 and 4) (I

Explanation of Responses:

- (1) Each restricted stock unit converted into a share of A.M. Castle & Co. common stock on a one-for-one basis upon vesting on December 31, 2013.
- (2) Between September 30, 2013 and November 30, 2013, the reporting person's balance decreased by 1.78 shares of common stock under the A. M. Castle & Co. 401(k) Plan. The information in this report is based upon a plan statement dated as of November 30, 2013.

Reporting Owners

Paperting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	nerOfficer	Other				
GARRETT THOMAS L 1420 KENSINGTON ROAD SUITE 220			Pres., Total Plastics, Inc.					

OAK BROOK, IL 60523		
Signatures		
Robert J. Perna, Attorney-in-Fact	1/2/2014	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Date

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Signature of Reporting Person

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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