

# CASTLE A M & CO

# Reported by GLYNN KEVIN H

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 01/02/14 for the Period Ending 12/31/13

Address 1420 KENSINGTON ROAD

**SUITE 220** 

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Iss	2. Issuer Name <b>and</b> Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
GLYNN KEV	'IN H				STLE													
(Last) (First) (Middle)				3. Da	3. Date of Earliest Transaction (MM/DD/YYYY)								Directo					
													X Office below)	er (give title	e below)	Othe	r (specify	
1420 KENSIN 220	IGTON	ROAD	, SUIT	ΓE		1	2/3	31/2	013				VP, Infor	mation T	Гесhnolog	y		
														6. Individual or Joint/Group Filing (Check Applicable Line)				
OAK BROOF	K, IL 60	523																
(City) (State) (Zip)													_ X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
		Table I	- Non-l	Derivativ	ve Secu	rities	Ac	quir	ed, I	Disp	osed of	f, or	Beneficially	y Owned				
1.Title of Security (Instr. 3)					2A. Deemed Execution Date, if	Cod on (Ins	Code (A) or Dis			Disposed of Follow		nount of Securities Beneficially Owned wing Reported Transaction(s) 3 and 4)			6. 7. Nature Ownership of Indirect Form: Beneficial Direct (D) Ownership			
					any	Co	de	V Aı	nount	(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock				12/31/2013	3	N	1	3	200	A	(1)		3:	200		D		
Common Stock 12/3				12/31/2013	/31/2013			10	006	D	\$14.77	2194			D			
Tab	le II - De	rivative S	ecuriti	es Benef	icially (	Owne	ed (	e.g.	, put	ts, ca	alls, wa	ırra	nts, options,	convert	ible secur	ities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date De Ex	3A. Deemed Executio Date, if any		le Securities		Expiration Date				Securit Deriva (Instr.	ties U	Inderlying Security	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction	Direct (D) or Indirect (I) (Instr.	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	(A) (I	D) E	Exerc		Date	;	Title		Number of Shares		(s) (Instr. 4)			
Restricted Stock Units	(1)	12/31/2013		M	320	00 1	12/31	1/2013	12/3	1/201	3 Comm Stock	-	3200	(1)	0	D		

#### **Explanation of Responses:**

(1) Each restricted stock unit converted into a share of A.M. Castle & Co. common stock on a one-for-one basis upon vesting on December 31, 2013.

**Reporting Owners** 

Paparting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
GLYNN KEVIN H 1420 KENSINGTON ROAD SUITE 220 OAK BROOK, IL 60523			VP, Information Technology						

#### **Signatures**

Robert J. Perna, Attorney-in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.