

# CASTLE A M & CO

# Reported by STEPHENS SCOTT F

## FORM 4

(Statement of Changes in Beneficial Ownership)

# Filed 01/02/14 for the Period Ending 12/31/13

Address 1420 KENSINGTON ROAD

**SUITE 220** 

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
t F			C.	AS	TLE A	M 8	z (	CO	<b>[</b> C	AS	]							
•				3. Date of Earliest Transaction (MM/DD/YYYY)									Director 10% Owner			wner		
(Last) (First) (Wildle)														X _ Officer (give title below) Other (specify				
CTON	DOAD	CTIT	ישני			12/	31	1/20	013				,		e m			
GION	KUAD	, 5011	. IL			12/			010			V	ice Pres	ident, Ci	o & Tre	as.		
(Street)				4. If Amendment, Date Original Filed (MM/DD/YYYY)									6. Individual or Joint/Group Filing (Check Applicable Line)					
K, IL 60	523																	
,																		
			<u> </u>										_ 1 01111 1110		nun one rep	<u> </u>		
	Table I	- Non-D	)eriva	ativ	e Securi	ties A	cqi	uire	ed, D	ispo	sed of,	or Ber	neficially	v Owned				
					2A.	1	_	_								6.	7. Nature	
					Deemed									ransaction(s)		Ownership		
					Date, if	(Instr. 8	5)			4 and		nstr. 3 an	d 4)				Beneficial Ownership	
					any			È	Í	(A)						or Indirect	(Instr. 4)	
						<u> </u>	.,	١.		or	n.					` / `		
			10/21/	2012		Code	V	Am	nount	(D)	Price					,		
			12/31/2	2013		M		89	000	A	(1)		49	133		D		
Common Stock 12/				31/2013				27	99	D \$	614.77		46334			D		
le II - Dei	rivative S		es Bei					_								ities)	,	
Security Conversion Date Deemed 7										e and						10.	11. Nature	
														Security derivative	Form of	Beneficial		
Price of		Date, if	١,	nstr. Acquired (A) (Instr. 3 and 4						and 4)		(Instr. 5)	Securities		Ownership			
		any	(8)			1									Owned	Direct (D)	(Instr. 4)	
												Following			or Indirect			
				1 2	ind 5)				ı			Τ.			. I			
			Code	v (	A) (D)			able		ation	Title	Numb	er of		(s) (Instr. 4)	,		
(1)	12/31/2013		М		8900	12/3	1/2	2013	12/31	/2013	Gommon Stock	8	900	(1)	0	D		
	(Street)  (Street)  (Street)  (State)  (State)  Le II - Der  2. Conversion or Exercise Price of Derivative Security	(First) (Middell (Mid	(First) (Middle)  (GTON ROAD, SUIT  (Street)  K, IL 60523 (State) (Zip)  Table I - Non-I  Table I - Non-I  2. 2. Conversion or Exercise Price of Derivative Security  3. Trans. Date Execution Date, if any	t F  (First) (Middle) 3.  IGTON ROAD, SUITE  (Street) 4.  (M  X, IL 60523  (State) (Zip)  Table I - Non-Derivative  2. Trandate  12/31/2  12/31/2  12/31/2  12/31/2  12/31/2013  Code	t F  (First) (Middle) 3. Date  (Street) 4. If A (MM/D)  (State) (Zip) 2. Trans. Date  12/31/2013  12/31/2013  12/31/2013  12/31/2013  12/31/2013  12/31/2013  12/31/2013	t F  (First) (Middle) 3. Date of Early  (Street) 4. If Amendme (MM/DD/YYYY)  (State) (Zip) 2. Trans. Date 2. Trans. Date Execution Date, if any  12/31/2013 12/31/201	CASTLE A M & CASTL	CASTLE A M & C  (First) (Middle) 3. Date of Earliest Trans  (IGTON ROAD, SUITE 12/31  (Street) 4. If Amendment, Date (MM/DD/YYYY)  Table I - Non-Derivative Securities Acq  2. Trans. Date Deemed Execution Date, if any  12/31/2013 M  12/31/2013 F  (Code V (A) (D) Date Exercise Price of Derivative Security  12/31/2013	CASTLE A M & CO	CASTLE A M & CO [ Content of Earliest Transaction of E	(Street)  (A) If Amendment, Date Original File (MM/DD/YYYY)  (MM/DD/YYYY)  (Instr. 3, 4 and any)  (Instr. 3, 4 and 5)  (Code V (A) (D)  (Date (Instr. 3, 4 and 5)  (Date	CASTLE A M & CO [ CAS ]	(Street)  (Street)  (Street)  (Street)  (A. If Amendment, Date Original Filed (MM/DD/YYYY)  4. If Amendment, Date Original Filed (MM/DD/YYYY)  Table I - Non-Derivative Securities Acquired, Disposed of, or Ber    2. Trans. Date   2. Trans. Code   Clinstr. 8   2. Trans. Odo (Instr. 8)   Code   V Amount (D)   Price     12/31/2013   M   8900   A   (1)     12/31/2013   F   2799   D   \$14.77      12/31/2013   F   2799   D   \$14.77      12/31/2013   S. Number of Code   Code	Check all  (First) (Middle)  (Street)  (Street)  (A. If Amendment, Date Original Filed (MM/DD/YYYY)  (State) (Zip)  (State) (Zip)  (A. If Amendment, Date Original Filed (MM/DD/YYYY)  (MM/DD/YYYY)  (A. If Amendment, Date Original Filed (MM/DD/YYYY)  (Instr. 3, 4 and 5)  (Instr. 3, 4 and 4)  (Instr. 3, 4 and 4)  (Instr. 3, 4 and 4)	(Check all applicable Liferies)  (First) (Middle)  (GTON ROAD, SUITE  (Street)  4. If Amendment, Date Original Filed (MM/DD/YYYY)  (State) (Zip)  4. If Amendment, Date Original Filed (MM/DD/YYYY)  (State) (Zip)  4. If Amendment, Date Original Filed (MM/DD/YYYY)  (State) (Zip)  5. Individual or Joi Applicable Line)  X_Form filed by One Form filed by More to Code (Code (A) or Disposed of (Instr. 3, 4 and 5)  12/31/2013 M 8900 A (I)  12/31/2013 F 2799 D \$14.77  4. Securities Acquired (A) or Disposed of Following Reported Transaction((Instr. 3) and 4)  12/31/2013 F 2799 D \$14.77  4. Securities Deficially Owned (e.g. , puts, calls, warrants, options, convert (Instr. 3) and 4)  12/31/2013 Date of Exercisable and T. Title and Amount of Securities Underlying Derivative Security (Instr. 3) and 4)  12/31/2013 M 1 2 2 3 2 3 3 4 4 4 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	(Check all applicable)  (First) (Middle)  3. Date of Earliest Transaction (MM/DD/YYYY)  (Street)  (Street)  4. If Amendment, Date Original Filed (MM/DD/YYYY)  4. If Amendment, Date Original Filed (MM/DD/YYYY)  (State)  (State)  (State)  (State)  (State)  (Zip)  (A)  (Code V Amount (D)  (Instr. 3, 4 and 5)  (Instr. 3 and 4)  (Instr. 3 and 4)  (Instr. 3 and 4)  (Instr. 3 and 4)  (Instr. 3)  (Instr. 3)	(Check all applicable)  (Check all applicable ine  (Street)  (Street)  (Check all applicable ine  (Street)  (Street)  (Check all applicable ine  (Street)  (Code (MM/DD/YYYY)  (Code (MM/DD/YYYY)  (Do (Instr. 3, 4 securities Acquired, Disposed of (Do) (Instr. 3, 4 and 5)  (Instr. 3, 4 and 4)  (Instr. 3 and 4)  (Instr.	

#### **Explanation of Responses:**

(1) Each restricted stock unit converted into a share of A.M. Castle & Co. common stock on a one-for-one basis upon vesting on December 31, 2013.

**Reporting Owners** 

Paparting Owner Name / Address	Relationships									
Reporting Owner Name / Address	Director	10% Owr	nerOfficer (	Other						
Stephens Scott F 1420 KENSINGTON ROAD SUITE 220 OAK BROOK, IL 60523			Vice President, CFO & Treas.							

#### **Signatures**

Robert J. Perna, Attorney-in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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