SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL							
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Estimated average burden							
hours per response:	0.5						

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>KNOPP RONALD E</u>				Event Requiri t (Month/Day/Y )13	· · · ·	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CASTLE A M &amp; CO</u> [ CAS ]							
(Last) 1420 KENSING SUITE 220	(First) TON ROAD	(Middle)					onship of Reporting Per all applicable) Director Officer (give title	son(s) to l	10%	6 Owner er (specify b	07/	Amendment, Date 11/2013	of Original Filed (Month/Day/Year)
(Street) OAK BROOK (City)	IL (State)	60523 (Zip)	_				below) EVP & Chief	Operatii			6. lr	X Form filed by	oup Filing (Check Applicable Line) One Reporting Person More than One Reporting Person
Table I - Non-Derivative Securities Beneficially Owned													
1. Title of Security (Instr. 4)					Amount wned (In	of Securities Benefici str. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						5,881(1)		D					
Table II - Derivative Securities Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 4) 2. Date Exercisable Expiration Date (Month/Day/Year)			ate	or Exe				4. Conversion or Exercise	5. Ownership Form: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)			
				Date Exercisable	Expiration Date	n Title				Amount or Number of Shares	Price of Derivative Security	(l) (Instr. 5)	

Explanation of Responses:

1. The reporting person's original Form 3 and Form 3/A inadvertently omitted 1,281 shares held directly by the reporting person which were acquired prior to becoming a Section 16 reporting person. These shares were also inadvertently omitted from the Form 4s filed by the reporting person after his original Form 3 was filed.

 
 Ronald E. Knopp, By: Marec E. Edgar, Attorney-in-Fact
 08/29/2016

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.