

CASTLE A M & CO Reported by

DONNELLEY REUBEN SIMPSON

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 04/29/13 for the Period Ending 04/25/13

Address 1420 KENSINGTON ROAD

SUITE 220

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|---|-------------|--------|----------------------------|---|---|--|---|---|---------------------------|------------------|---|---|--|---|--|----------------------------------|------------|
| Donnelley Re | euben Si | mps | on | | CA | STLE A | M & | C | O [C | AS |] | | | | | | |
| (Last) | (First) | | (Middle) | | 3. Da | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | X _ Director 10% Owner | | | | |
| | | | | | | | | | | | Officer (give title below) Other (specify below) | | | | (specify | | |
| 1420 KENSINGTON ROAD, SUITE 220 | | | | E | | | | | | | | | | | | | |
| (Street) | | | | | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| OAK BROOK, IL 60523 | | | | | | | | | | | | | | | | | |
| (City) (State) (Zip) | | | | | | | | | | | | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | | Tab | ole I - No | n-De | rivati | ve Securi | ties Acq | Įui | red, Di | spo | sed | of, or I | Beneficially | y Owned | | | |
| 1 | | | . Trans. Pate | 2A. Deemed Execution Date, if | 3. Trans. Code (Instr. 8) | | 4. Securi Acquired Disposed (Instr. 3, | l (A) l of (| (A) or Followin (Instr. 3 | | ng Reported Transaction(s) | | | | Beneficial Ownership | | |
| | | | | | | any | Code | v | Amount | (A) or (D) | | ce | | | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Common Stock 4/2 | | | | /25/201 | 3 | A | | 4146 | A | \$0 | | 32289 | | | D | | |
| Common Stock | | | | | | | | | | | | | 33 | 313 | | I | See Note |
| Common Stock | | | | | | | | | | | | 5625 | | | I | See Note | |
| Ta | ble II - De | rivati | ive Secui | rities | Benef | ficially O | wned (<i>e</i> | 2.g. | . , puts, | cal | lls, | warran | ts, options | , convert | ible secur | rities) | |
| 1. Title of Derivate Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Trans. Date Execution Date, if any Code (Instr. any) | | | Der Seco Acq Disp | fumber of ivative urities uired (A) or possed of (D) tr. 3, 4 and | 6. Date Exercisable and Expiration Date | | | 7. Title and Amour Securities Underly Derivative Security (Instr. 3 and 4) | | | ying Iy | 8. Price of Derivative Security (Instr. 5) | derivative Securities Beneficially Owned Following | Security: Direct (D) or Indirect (I) (Instr. | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code | V (A |) (D) | Date Exercisab | - 1 | Expiratior Date | Tit | 101 | mount or l | Number of (s) (Instr. 4) | | '' | | |

Explanation of Responses:

- (1) Proportionate interest as beneficiary under Sandra Simpson 2008 Family Gift Trust, Patrick J. Herbert, Trustee.
- (2) Beneficiary under Trust dated 1988, Patrick J. Herbert, Trustee.

Reporting Owners

| atepot ting o where | | | | | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|--|--|--|
| Demosting Oversa Name / Address | Relationships | | | | | | | | | |
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | | |
| Donnelley Reuben Simpson 1420 KENSINGTON ROAD SUITE 220 OAK BROOK, IL 60523 | X | | | | | | | | | |

Signatures

Robert J. Perna, Attorney-in-Fact

4/29/2013

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.