

# CASTLE A M & CO Reported by

# HERBERT PATRICK J III

## FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

# Filed 12/16/10 for the Period Ending 03/24/08

Address 3400 N WOLF RD

FRANKLIN PARK, IL 60131

Telephone 7084557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. ]	2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
HERBERT PA	ATRIC	KJIII		$\mathbf{C}$	4S	TLE A	M	& CO	[ CAS ]							
(Last) (First) (Middle)				3. 1	3. Date of Earliest Transaction (MM/DD/YYYY)							X Director X 10% Owner				
3400 NORTH WOLF ROAD					3/24/2008							Officer (give title below) Other (specify below)				
	(Street)					Amendme D/YYYY)	nt,	Date Ori	ginal Filed	i	6. Individo Applicable L		nt/Group I	Filing (Che	eck	
FRANKLIN F	PARK, I	L 6013	1				4	1/2//20	00		V Form f	lad by One	Reporting Per	rcon		
(City)	(State)	(Zip)					1	1/26/20	08				han One Rep		n	
		Table I	- Non-D	eriva	tiv	e Securit	ies	Acquire	d, Dispos	ed of, or	Beneficially	y Owned				
1.Title of Security (Instr. 3)				2. Tran Date	s.	2A. Deemed Execution Date, if any	(Ins	de A str. 8) D (I	Securities cquired (A) or isposed of (D nstr. 3, 4 and (A) or mount (D) I	Follor (Instr	nount of Securition wing Reported T . 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
Tabl	le II - Dei	rivative S	ecuritie	s Ben	efi	cially Ov	vne	d ( e.g. ,	puts, call	s, warra	nts, options	convert	ible secur	ities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans.	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			9. Number	Ownership Form of	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction (s) (Instr. 4)	4)		
Phantom Stock	(1)	3/24/2008		A		115.473		(2)	(2)	Common Stock	115.473	\$25.98	18415.87 (3) (4)	D		
Phantom Stock	(1)	5/9/2008		A		161.655		(2)	(2)	Common Stock	161.655	\$30.93	18577.525 (5)	D		
Phantom Stock	(1)	8/11/2008		A		287.644		(2)	(2)	Common Stock	287.644	\$19.99	18899.325 (6) (7)	D		
Phantom Stock	(1)	11/24/2008		A		448.029		(2)	(2)	Common Stock	448.029	\$11.16	<b>19581.471</b> (8) (9)	D		

#### **Explanation of Responses:**

- (1) Conversion is on a 1 for 1 basis.
- (2) Phantom Stock acquired under the Issuer's Directors' Deferred Compensation Plan. These shares will become payable, in cash or common stock, at the election of the reporting person upon the reporting person's departure from the Board or otherwise per the reporting person's election made at the time of the election to defer compensation.
- (3) Balance includes 53.641 shares acquired on March 7, 2008 pursuant to an automatic dividend reinvestment feature under the Issuer's Directors' Deferred Compensation Plan.
- Due to an inadvertent calculation error, the "Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)" reported on this line in Table II on the Form 4 filed on November 26, 2008 should have been 18,415.87 shares rather than 18,451.724

- shares, a difference of (35.854) shares.
- (5) Due to an inadvertent calculation error, the "Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)" reported on this line in Table II on the Form 4 filed on November 26, 2008 should have been 18,577.525 shares rather than 18,613.379 shares, a difference of (35.854) shares.
- (6) Balance includes 34.156 shares acquired on May 22, 2008 pursuant to an automatic dividend reinvestment feature under the Issuer's Directors' Deferred Compensation Plan.
- (7) Due to an inadvertent calculation error, the "Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)" reported on this line in Table II on the Form 4 filed on November 26, 2008 should have been 18,899.325 shares rather than 18,901.023 shares, a difference of (1.698) shares.
- (8) Balance includes 53.329 shares acquired on August 21, 2008, and 180.788 shares acquired on November 20, 2008 pursuant to an automatic dividend reinvestment feature under the Issuer's Directors' Deferred Compensation Plan.
- (9) Due to an inadvertent calculation error (i) the "Price of Derivative Security" reported on this line in Table II of the Form 4 filed on November 26, 2008 should have been \$11.16 instead of \$8.11, (ii) the "Number of Derivative Securities Acquired or Disposed" and the "Amount or Number of Shares" reported on this line in Table II on the Form 4 filed on November 26, 2008 should have been 448.029 shares rather than 616.523 shares; and (iii) the "Number of Derivative Securities Beneficially Owned Following Reported Transaction (s)" reported on this line in Table II on the Form 4 filed on November 26, 2008 should have been 19,581.471 shares rather than 19,786.332 shares, a difference of (204.861) shares.

**Reporting Owners** 

Depositing Oxymon Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owne	rOfficer	Other			
HERBERT PATRICK J III 3400 NORTH WOLF ROAD	X	v					
FRANKLIN PARK, IL 60131	A	A					

#### **Signatures**

Robert J. Perna, Attorney-In-Fact 12/16/2010

\*\* Signature of Reporting Person

Date

Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.