

# CASTLE A M & CO Reported by COUGHLIN KEVIN

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 03/04/11 for the Period Ending 03/02/11

| Address     | 1420 KENSINGTON ROAD                      |
|-------------|---|
|             | SUITE 220                                 |
|             | OAK BROOK, IL 60523                       |
| Telephone   | 8474557111                                |
| CIK         | 0000018172                                |
| Symbol      | CAS                                       |
| SIC Code    | 5051 - Metals Service Centers and Offices |
| Industry    | Misc. Fabricated Products                 |
| Sector      | Basic Materials                           |
| Fiscal Year | 12/31                                     |

| FORM 4 |  |
|--------|--|
|--------|--|

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Expires: February 28, 2011 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |  |
|---|--|---|--|--|
| Coughlin Kevin                                  | CASTLE A M & CO [ CAS ]                            |   |  |  |
| (Last) (First) (Middle)                         | 3. Date of Earliest Transaction (MM/DD/YYYY)       | Director 10% Owner  |  |  |
|   |  | X Officer (give title below) Other (specify                             |  |  |
| 3400 NORTH WOLF ROAD                            | 2/2/2011   | below)<br>VP, Operations  |  |  |
| (Street)  |  | 6. Individual or Joint/Group Filing (Check<br>Applicable Line)          |  |  |
| FRANKLIN PARK, IL 60131<br>(City) (State) (Zip) |  | X Form filed by One Reporting Person                                    |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|                                    |                   |                    | -                 |   | ,                      | -         |       | · ·   |                            |  |
|------------------------------------|-------------------|--------------------|-------------------|---|------------------------|-----------|-------|---|----------------------------|--|
| 1. Title of Security<br>(Instr. 3) | 2. Trans.<br>Date |                    | 3. Trans.<br>Code |   | 4. Securi<br>Acquired  |           |       | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s) | 6.<br>Ownership            | 7. Nature<br>of Indirect                 |
|                                    |                   | Execution Date, if | (Instr. 8)        |   | Disposed<br>(Instr. 3, |           |       | (Instr. 3 and 4)  | Form:<br>Direct (D)        | Beneficial<br>Ownership                  |
|                                    |                   | any                |                   |   | (                      | (A)<br>or |       |   | or Indirect<br>(I) (Instr. |  |
|                                    |                   |                    | Code              | V | Amount                 | (D)       | Price |   | 4)                         |  |
| Common Stock                       |                   |                    |                   |   |                        |           |       | 12122   | D                          |  |
| Common Stock                       |                   |                    |                   |   |                        |           |       | 530.85  | I                          | Through<br>401(k)<br>Plan <sup>(1)</sup> |

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1 T'(1, (D, (, , , ))) |             | 2         | 2.4       | 4       |    | 5 N          | C      | CDUE         |            | 7 . 11. 1    | 1.4        | 0.0.       | 0. 1.          | 10          | 11 N.       |
|------------------------|-------------|-----------|-----------|---------|----|--------------|--------|--------------|------------|--------------|------------|------------|----------------|-------------|-------------|
| 1. Title of Derivate   |             | 3. Trans. |           | 4.      |    | 5. Number    |        |              |            |              |            |            |                | 10.         | 11. Nature  |
| Security               | Conversion  | Date      | Deemed    | Trans.  |    | Derivative   |        | Expiration I | Date       | Securities   | Underlying | Derivative | of             | Ownership   | of Indirect |
| (Instr. 3)             | or Exercise |           | Execution | Code    |    | Securities   |        |              |            | Derivative   | Security   | Security   | derivative     | Form of     | Beneficial  |
|                        | Price of    |           | Date, if  | (Instr. | 8) | Acquired (   | (A) or |              |            | (Instr. 3 ar | nd 4)      | (Instr. 5) | Securities     | Derivative  | Ownership   |
|                        | Derivative  |           | any       |         | ŕ  | Disposed of  | of (D) |              |            | L.           | ,          | · · · ·    | Beneficially   | Security:   | (Instr. 4)  |
|                        | Security    |           |           |         |    | 1            |        |              |            |              |            |            | 5              | Direct (D)  |             |
|                        |             |           |           |         |    | (Instr. 3, 4 | and    |              |            |              |            |            |                | or Indirect |             |
|                        |             |           |           |         |    | 5)           |        |              |            |              |            |            | 0              | (I) (Instr. |             |
|                        |             |           |           |         |    | - /          |        |              | 1          |              | 1          |            | Transaction    |             |             |
|                        |             |           |           |         |    |              |        | Date         | Expiration |              | Amount or  |            | (s) (Instr. 4) | ,           |             |
|                        |             |           |           |         |    |              |        | Exercisable  |            | Title        | Number of  |            | (s) (msu. 4)   |             |             |
|                        |             |           |           | Code    | V  | (A)          | (D)    | Exercisable  | Date       |              | Shares     |            |                |             |             |
| Destricted Steels      |             | 3/2/2011  |           |         |    |              |        | 12/31/2013   | 12/31/2013 | Common       |            |            |                |             |             |
| Restricted Stock       | (2)         | 5/2/2011  |           | A       |    | 1700         |        | (3)          | (3)        |              | 1700       | \$0        | 1700           | D           |             |
| Units                  |             |           |           |         |    |              |        | (3)          | (3)        | Stock        |            |            |                |             |             |

#### **Explanation of Responses:**

- Between February 28, 2010 and January 31, 2011, the reporting person's balance decreased by .32 shares of common stock under the A. M. Castle & Co. 401(k) Plan. The information in this report is based upon a plan statement dated as of January 31, 2011.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock of A. M. Castle & Co.
- (3) The restricted stock units vest in full on December 31, 2013, provided the reporting person is employed by A. M. Castle & Co. on the vesting date.

#### **Reporting Owners**

| Penerting Owner Name / Address         | Relationships |           |                |       |  |  |  |  |
|--|---------------|-----------|----------------|-------|--|--|--|--|
| Reporting Owner Name / Address         | Director      | 10% Owner | Officer        | Other |  |  |  |  |
| Coughlin Kevin<br>3400 NORTH WOLF ROAD |               |           | VP, Operations |       |  |  |  |  |

| FRANKLIN PARK, IL 60131           |          |  |
|-----------------------------------|----------|--|
| Signatures                        |          |  |
| Robert J. Perna, Attorney-in-Fact | 3/4/2011 |  |
| ** Signature of Reporting Person  | Date     |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.