

# CASTLE A M & CO Reported by GOLDBERG MICHAEL H

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 01/04/12 for the Period Ending 12/31/11

Address 1420 KENSINGTON ROAD

**SUITE 220** 

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

	(Check all applicable)
GOLDBERG MICHAEL H CASTLE A M & CO [ CAS	]
(Last) (First) (Middle) 3. Date of Earliest Transaction (MM/	
	X _ Officer (give title below) Other (specify below)
1420 KENSINGTON ROAD, SUITE 12/31/2011	President & CEO
220	
	ed (MM/DD/YYYY) 6. Individual or Joint/Group Filing (Check Applicable
	Line)
OAK BROOK, IL 60523	
(City) (State) (Zip)	X _ Form filed by One Reporting Person Form filed by More than One Reporting Person
	· · · · · · · · · · · · · · · · · · ·
Table I - Non-Derivative Securities Acquired, Dis	posed of, or Beneficially Owned
	urities Acquired 5. Amount of Securities Beneficially Owned 6. 7. Natu
	Disposed of (D) Following Reported Transaction(s) Ownership of Indi 3, 4 and 5) (Instr. 3 and 4) Form: Benefi
	Direct (D) Owner or Indirect (Instr.
	(A) or (I) (Instr.
Code V Amour	
Common Stock   12/31/2011   F   8177	D \$9.46 96297 D
Table II - Derivative Securities Beneficially Owned (e.g., puts,	calle warrante antiane convertible securities)
1. Title of Derivate 2. 3. Trans. 3A. 4. Trans. 5. Number of 6. Date Exercise	
Security Conversion Date Deemed Code Derivative Securities and Expiration	Date Securities Underlying Derivative of Ownership of Indi
(Instr. 3) or Exercise Execution Opate, if Date, if Captured (A) or Disposed of (D)	Derivative Security (Instr. 3 and 4) Security derivative Form of Benefi Owner
Derivative any (Instr. 3, 4 and 5)	Beneficially Security: (Instr.
Security	Owned Direct (D) Following or Indirect
Date Ex	Reported (I) (Instr.
Code V (A) (D) Exercisable Date	

#### **Explanation of Responses:**

Rei	nor	ting	Ow	ners

Reporting Owners							
D N / A dd	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
GOLDBERG MICHAEL H							
1420 KENSINGTON ROAD			 President & CEO				
SUITE 220			rresident & CEO				
OAK BROOK, IL 60523							

#### Signatures

Robert J. Perna, Attorney-in-Fact

\*\* Signature of Reporting Person

| 1/4/2012 | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.