

# CASTLE A M & CO Reported by ANDERSON PATRICK R.

# FORM 4

(Statement of Changes in Beneficial Ownership)

# Filed 03/26/14 for the Period Ending 03/24/14

Address 1420 KENSINGTON ROAD

**SUITE 220** 

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add	ress of Re	porting l	Person *	2.	. Iss	uer Nan	ne <b>a</b>	nd Tick	er o	r Tradii	ng Symb	ool 5. Relatio (Check al			Person(s)	to Issuer
Anderson Pat	rick R.			C	CAS	TLE.	A N	<b>A &amp; C</b>	<b>O</b> [	CAS	]					
(Last)	(First)	(Mid	dle)	3.	. Da	te of Ea	rlies	st Transa	actio	on (MM/I	DD/YYYY	Direct	or		10% O	wner
	. ,	·										X Offi- below)	cer (give title	e below)	Othe	r (specify
1420 KENSIN	GTON	ROAD	, SUIT	$\mathbf{E}$				3/24/2	2014	4		VP Conti	oller & (	CAO		
220																
	(Street)					Amendr DD/YYYY		, Date C	)rigi	nal File	ed	6. Individ Applicable L		nt/Group l	Filing (Che	eck
OAK BROOK	K, IL 60	523														
(City)	(State)	(Zip)	)											Reporting Per than One Rep		n
		Table l	[ - Non-I	Deriv	zativ	ze Secui	ritie	s Acani	red.	. Disno	sed of. o	or Beneficiall	v Owned			
1.Title of Security		Tuble	11011 1	2. Tra		2A.		. Trans.	<del>~ ´</del>	ecurities		amount of Securiti			6.	7. Nature
(Instr. 3)				Date		Deemed Execution	l C	ode (nstr. 8)	Acq	uired (A)	or Foll	lowing Reported T tr. 3 and 4)			Ownership Form:	
						Date, if	)II (I	iistr. 8)		posed of ( tr. 3, 4 and		tr. 3 and 4)			Direct (D)	Ownership
						any				(A)					or Indirect (I) (Instr.	(Instr. 4)
								Code V	Amo	ount Or	Price				4)	
						•									•	•
Tab	le II - Dei	rivative	Securition	es Be	enefi	icially (	Own	ed ( e.g.	. , pı	uts, cal	ls, warr	ants, options	, convert	ible secur	ities)	
1. Title of Derivate Security	2. Conversion	3. Trans.	3A. Deemed	4. Trans		6. Number Derivative		6. Date E				d Amount of Underlying	8. Price of Derivative	9. Number	10. Ownership	11. Nature of Indirect
(Instr. 3) or Exercise Execu			Execution		S	Securities		and Expiration Date Securities Derivative				Security	derivative	Form of	Beneficial	
	Price of Derivative		Date, if any	(Instr		Acquired ( Disposed o					(Instr. 3 aı	nd 4)	(Instr. 5)	Securities Beneficially	Derivative Security:	Ownership (Instr. 4)
	Security			"		•								Owned	Direct (D)	(Histr. 1)
					5	Instr. 3, 4	and							Following Reported	or Indirect (I) (Instr.	
						,		Date	E	xpiration		Amount or		Transaction (s) (Instr. 4)	4)	
				Code	$ _{\mathbf{v}} $	(A)	(D)	Exercisal			Title	Number of Shares		(5) (111511. 4)		
Restricted Stock Units	(1)	3/24/2014		A	++	3002	. /	(2)		(2)	Common Stock	3002	\$0	3002	D	

### **Explanation of Responses:**

- (1) Each restricted stock unit represents a contingent right to receive one share of common stock of A.M. Castle & Co.
- (2) The restricted stock units vest in full on December 31, 2016, provided the reporting person is employed by A.M. Castle & Co. on the vesting date.

**Reporting Owners** 

Paperting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Anderson Patrick R. 1420 KENSINGTON ROAD SUITE 220 OAK BROOK, IL 60523			VP Controller & CAO					

### **Signatures**

/s/ Jeffrey S. Torf, Attorney-in-Fact

3/25/2014

<sup>\*\*</sup> Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.