SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

		Check this box if no longer subject to Section 16. Form 4 or Form 5								
		Instruction 1(b).								

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] BRODSKY JEFFREY A				2. Issuer Name and Ticker or Trading Symbol <u>CASTLE A M & CO</u> [CTAM]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) C/O	C/O						3. Date of Earliest Transaction (Month/Day/Year) 04/25/2018									Officer (give title Other (spec below) below)		
1420 KENSINGTON ROAD, SUITE 220				4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check					
(Street) OAK BROOK IL 60523													Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(S	tate) (2	Zip)															
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)		ear)	if any	emed tion Date, n/Day/Year)	Transaction		4. Securities A (A) or Dispose (Instr. 3, 4 and		d Of (D) Sec Ber Ow	urities eficially ned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amour	nt (A) or (D)	A) or Price		oorted nsaction(s) tr. 3 and 4)		(1150.4)	
Common Stock					04/25/2018				Α		22,91	⁰ A	\$ <mark>0</mark>		22,910	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. 5. Transaction Code (Instr. 8) B) Code (Instr. 3) Code (Instr. 3) Code (Instr. 3) Code (Instr. 3) and 5)			Deriva Secur (Instr.					Deri Sec (Ins	vative irity r. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisabl		piration te		Amou or Numb of Share	er					

Explanation of Responses:

1. Restricted stock granted by Issuer pursuant to the Non-Employee Director Compensation Plan. This restricted stock vests in full on the first anniversary of the date of the grant.

<u>/s/ Jeffrey Brodsky, By:</u> <u>Marec E. Edgar, Attorney-</u> 04/27/2018 <u>In-Fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.