

# CASTLE A M & CO Reported by HERBERT PATRICK J III

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 05/16/14 for the Period Ending 05/16/14

Address	1420 KENSINGTON ROAD
	SUITE 220
	OAK BROOK, IL 60523
Telephone	8474557111
CIK	0000018172
Symbol	CAS
SIC Code	5051 - Metals Service Centers and Offices
Industry	Misc. Fabricated Products
Sector	Basic Materials
Fiscal Year	12/31

FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION ON Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
HERBERT PATRICK J III	CASTLE A M & CO [ CAS ]					
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X Director X 10% Owner Officer (give title below) Other (specify below)				
1420 KENSINGTON ROAD, SUITE 220	5/16/2014	Oncer (give the below) Oner (spechy below)				
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
OAK BROOK, IL 60523		V. Frankfilled her Ore Descertion Descert				
(City) (State) (Zip)		X Form filed by One Reporting Person Form filed by More than One Reporting Person				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security	2. Trans.	2A.	3. Trans.		4. Securi	ties A	cquired	5. Amount of Securities Beneficially Owned	6.	7. Nature
(Instr. 3)	Date	Deemed	Code		(A) or D	ispose	d of (D)	Following Reported Transaction(s)	Ownership	of Indirect
		Execution	(Instr. 8)					(Instr. 3 and 4)	Form:	Beneficial
		Date, if any			(Instr. 3,	4 and	5)		Direct (D)	Ownership
						(A)			or Indirect	(Instr. 4)
						or			(I) (Instr.	
			Code	v	Amount		Price		4)	
Common Stock	5/16/2014		М		7500	Α	\$8.52	53793	D	

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivate	2.	3. Trans.	3A.	4. Trans.	5. Numbe	r of	6. Date Exe	cisable	7. Tit	tle and Amount of	8. Price of	9. Number	10.	11. Nature
Security	Conversion	Date	Deemed	Code	Derivativ	e Securities	and Expirati	on Date	Secu	rities Underlying	Derivative	of	Ownership	of Indirect
(Instr. 3)	or Exercise		Execution	(Instr. 8)	Acquired	(A) or			Deriv	vative Security	Security	derivative	Form of	Beneficial
	Price of		Date, if		Disposed	of (D)			(Instr	r. 3 and 4)	(Instr. 5)	Securities	Derivative	Ownership
	Derivative		any		(Instr. 3, 4	4 and 5)						Beneficially	Security:	(Instr. 4)
	Security											Owned	Direct (D)	
	-											Following	or Indirect	
								<b>B</b>				Reported	(I) (Instr.	
							Date	Expiration	Title	Amount or Number of Shares		Transaction	4)	
				Code	/ (A)	(D)	Exercisable	Date		Shares		(s) (Instr. 4)		

#### **Explanation of Responses:**

#### Reporting Owners

Penerting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
HERBERT PATRICK J III 1420 KENSINGTON ROAD SUITE 220 OAK BROOK, IL 60523	X	X					

#### Signatures

5/16/2014

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Date

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.