SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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	Check this box if no longer subject						
	to Section 16. Form 4 or Form 5						
Ш	obligations may continue. See						
	Instruction 1(b).						

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person Sheehan Michael J				2. Issuer Name and Ticker or Trading Symbol <u>CASTLE A M & CO</u> [CTAM]									Check a	all app Direct	licable)	g Person(s) t 10% (Other		
(Last) (First) (Middle) 1420 KENSINGTON ROAD SUITE 220					3. Date of Earliest Transaction (Month/Day/Year) 04/25/2018									below) bel				
				4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) OAK BROOK IL 60523													X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(S	tate) (2	Zip)															
		Table I -	Non-Derivati	ve Sec	urit	ties	Acq	uired, D	spos	sed o	f, or l	Bene	ficiall	y Ov	vned			
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)		ear)	if any	emed tion Date, n/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities (A) or Dispos (Instr. 3, 4 an		sed Of (D) Sec nd 5) Ber Ow		curities neficially ned	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amour	nt (A) or (D)	A) or Price		orted nsaction(s) tr. 3 and 4)	(I) (Instr. 4)	(1130.4)	
Common Stock				04/25/2018		8			Α		22,91	⁰ A	\$0 2		24,576	D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Securitie Acquired (A) or Disposed of (D) (Instr. 3, and 5)			vative urities uired or oosed 0) tr. 3, 4	Expiration Date Amo (Month/Day/Year) Sect Und as Deri d Sect (Inst d					Deri Secu (Inst		9. Number of derivative Securities Beneficially Owned Following Reported Transaction (s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisab		piration e	Title	Amou or Numb of Share	ər					

Explanation of Responses:

1. Restricted stock granted by Issuer pursuant to the Non-Employee Director Compensation Plan. This restricted stock vests in full on the first anniversary of the date of the grant.

<u>/s/ Michael J. Sheehan, By:</u> <u>Marec E. Edgar, Attorney-</u> 04/27/2018 in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.