

# CASTLE A M & CO Reported by

# **KEATING TERRENCE J**

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 01/03/13 for the Period Ending 01/02/13

Address 1420 KENSINGTON ROAD

**SUITE 220** 

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add	ress of Re	porting	Person *	2	. Is	suer Na	me <b>a</b>	nd Ticke	r or Tradi	ng Symb	ool 5. Relation (Check all			Person(s)	to Issuer
<b>Keating Terre</b>	ence J			C	CA	STLE	A N	1 & CC	[ CAS	]					
(Last)	(First)	(Mi	iddle)	3	3. Date of Earliest Transaction (MM/DD/YYYY)					, – –	X Director Officer (give title below)			10% Owner Other (specify	
			_ ~					1/2/20	12		below)	r (give title i	below) _	Otner	(specify
1420 KENSIN 220	GTON	ROA	D, SUI	ГE				1/2/20	13						
	(Street)					Amend/DD/YYY		, Date Or	iginal Fil	ed	6. Individi Applicable Li		nt/Group l	Filing (Che	eck
OAK BROOK	K, IL 60	523													
(City)	(State)	(Zi	p)										Reporting Per han One Rep		n
		Table	I - Non-	Deriv	vati	ive Secu	ıritie	s Acquir	ed, Dispo	sed of, o	or Beneficially	y Owned			
1. Title of Security (Instr. 3)				2. Tr Date	ans.	2A. Deemee Executi Date, if	d C ion (I	ode // nstr. 8)	4. Securities Acquired (A) Disposed of (Instr. 3, 4 an (A) or	or (D) (Ins	Amount of Securities lowing Reported T tr. 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
Tab	le II - Dei	rivative	Securiti	ies Be	ene	ficially	!		Amount (D)		ants, options	, convert	ible secur		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security			Code	8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date			•	Derivative	derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction (s) (Instr. 4)	(I) (Instr. 4)	
Phantom Stock	(1)	1/2/2013		A		500		(2)	(2)	Common Stock	500	\$15.00	2872.61	D	

#### **Explanation of Responses:**

- (1) Conversion is on a 1 for 1 basis.
- (2) Phantom Stock acquired under the Issuer's Directors' Deferred Compensation Plan. These shares will become payable, in cash or common stock, at the election of the reporting person upon the reporting person's departure from the Board or otherwise per the reporting person's election made at the time of the election to defer compensation.
- (3) Balance includes 14.54 shares acquired on April 2, 2009, pursuant to an automatic dividend reinvestment feature under the Issuer's Directors' Deferred Compensation Plan.

#### **Reporting Owners**

Panarting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10%	Owner	Officer	Other		
Keating Terrence J 1420 KENSINGTON ROAD SUITE 220 OAK BROOK, IL 60523	X						

Robert J. Perna, Attorney-in-Fact

1/3/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.