

## CASTLE A M & CO

# Reported by STEPHENS SCOTT F

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 03/04/11 for the Period Ending 03/02/11

Address 1420 KENSINGTON ROAD

**SUITE 220** 

OAK BROOK, IL 60523

Telephone 8474557111

CIK 0000018172

Symbol CAS

SIC Code 5051 - Metals Service Centers and Offices

Industry Misc. Fabricated Products

Sector Basic Materials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2	2. Issuer Name <b>and</b> Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Stephens Scot	t F			(	CAS	STLE A	M	& (	CC	<b>O</b> [ <b>C</b> /	AS	]						
(Last) (First) (Middle)					. Da	te of Earl	liest '	Tran	ısa	ction (	ИМ/I	DD/YYYY	)	Director 10% Owner				
					!									X Officer (give title below) Other (specify below)				
3400 NORTH WOLF RD.							•	3/2/	<b>2</b> 0	)11				Vice President, CFO & Treas.				
(Street)						Amendmo D/YYYY)	ent, I	Date	Oı	riginal	File	ed		6. Individual or Joint/Group Filing (Check Applicable Line)				
FRANKLIN I	PARK, I	L 601	31															
(City) (State) (Zip)													_ <b>X</b> _ Form file	_ X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
		Table	I - Non-	Deriv	vativ	e Securi	ties .	Acqı	uir	ed, Di	spo	sed of, o	or Beneficiall	y Owned				
			2. Tr Date		2A. Deemed Execution Date, if	Cod	Code (Instr. 8)		4. Securities Acquired (A) Disposed of (I (Instr. 3, 4 and		or Follo	Following Reported 7 (Instr. 3 and 4)		es Beneficially Owned ransaction(s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Co	ode	V	Amount	or	Price				(I) (Instr. 4)		
Common Stock													1565	9.60 (1)		D		
Tab	le II - Dei	rivative	Securiti	es Bo	enefi	icially O	wned	d ( e.	g.	, puts,	cal	ls, warr	ants, options	, convert	ible secur	rities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	Deemed Execution	4. Trans. Code (Instr.	8) A	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and					and	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		(Instr. 5)	of derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr.	Beneficial	
				Code	v	(A) (I	ъ.	ate xercis	able	Expira e Date	tion	Title	Amount or Number of Shares		Transaction (s) (Instr. 4)	(4)		
Restricted Stock Units	(2)	3/2/2011		A	8	8900	12	2/31/2		3 12/31/2		Common Stock	8900	\$0	8900	D		

#### **Explanation of Responses:**

- (1) Amount of securities beneficially owned following reported transaction includes a total of 208.95 shares acquired from October 4, 2010 through February 14, 2011 via the Registrant's Employee Stock Purchase Plan.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock of A. M. Castle & Co.
- (3) The restricted stock units vest in full on December 31, 2013, provided the reporting person is employed by A. M. Castle & Co. on the vesting date.

#### **Reporting Owners**

Paparting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Stephens Scott F									
3400 NORTH WOLF RD.			Vice President, CFO & Treas.						
FRANKLIN PARK, IL 60131			·						

### **Signatures**

Robert J. Perna, Attorney-in-Fact

3/4/2011

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.